

02-07-02

PATENT

Atty. Docket No. 01 P 14623 US (8055-113)**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Assistant Commissioner  
for Patents  
Washington, D.C. 20231

**UTILITY APPLICATION FEE TRANSMITTAL**

JC978 U.S. PTO  
10/067703  
02/05/02

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Enio L. Carpi; Bernhard Liegl; and Peter ThwaiteFor: MASK ALIGNMENT METHOD

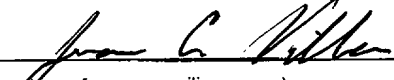
Enclosed are:

☒ 6 page(s) of specification☒ 1 page(s) of Abstract☒ 3 page(s) of claims☒ 3 sheets of drawings ☒ formal ☐ informal☒ 3 page(s) of Declaration and Power of Attorney☒ An Assignment of the invention to Infineon Technologies North America Corp.**CERTIFICATION UNDER 37 C.F.R. § 1.10**

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date February 5, 2002 in an envelope as "Express Mail Post Office to Addressee" Mail Label Number EL822652575US addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Juan C. Villar

(Type or print name of person mailing paper)



(Signature of person mailing paper)

PTO/SB/17 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 780.00)

## Complete if Known

|                      |                          |
|----------------------|--------------------------|
| Application Number   |                          |
| Filing Date          |                          |
| First Named Inventor | Enio L. Carpi            |
| Examiner Name        |                          |
| Group Art Unit       |                          |
| Attorney Docket No.  | 01 P 14623 US (8055-113) |

## METHOD OF PAYMENT (check one)

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 19-2179  
Deposit Account Name: Siemens Corporation

- ☒
- Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description        | Fee Paid |
|-------------------|-------------------|----------|------------------------|----------|
| 101               | 201               | 370      | Utility filing fee     | \$740.00 |
| 106               | 206               | 165      | Design filing fee      |          |
| 107               | 207               | 255      | Plant filing fee       |          |
| 108               | 208               | 370      | Reissue filing fee     |          |
| 114               | 214               | 80       | Provisional filing fee |          |

SUBTOTAL (1) (\$ 740.00)

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 13                 | -20** = 0    | \$18           | \$0      |
| 3                  | -3** = 0     | \$84           | \$0      |
| Multiple Dependent |              | \$280          | \$0      |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description  |
|-------------------|-------------------|----------|--|
| 103               | 203               | 9        | Claims in excess of 20                                     |
| 102               | 202               | 42       | Independent claims in excess of 3                          |
| 104               | 204               | 140      | Multiple dependent claim, if not paid                      |
| 109               | 209               | 42       | ** Reissue independent claims over original patent         |
| 110               | 210               | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 0.00)

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

| Large Entity Code | Small Entity Code | Fee (\$) | Fee Description  | Fee Paid |
|-------------------|-------------------|----------|--|----------|
| 105               | 205               | 65       | Surcharge - late filing fee or oath  |          |
| 127               | 227               | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139               | 239               | 130      | Non-English specification  |          |
| 147               | 247               | 2,520    | For filing a request for ex parte reexamination                            |          |
| 112               | 212               | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113               | 213               | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 115               | 215               | 55       | Extension for reply within first month                                     |          |
| 116               | 216               | 200      | Extension for reply within second month                                    |          |
| 117               | 217               | 460      | Extension for reply within third month                                     |          |
| 118               | 218               | 720      | Extension for reply within fourth month                                    |          |
| 128               | 228               | 980      | Extension for reply within fifth month                                     |          |
| 119               | 219               | 160      | Notice of Appeal   |          |
| 120               | 220               | 160      | Filing a brief in support of an appeal                                     |          |
| 121               | 221               | 140      | Request for oral hearing   |          |
| 138               | 238               | 1,510    | Petition to institute a public use proceeding                              |          |
| 140               | 240               | 55       | Petition to revive - unavoidable   |          |
| 141               | 241               | 640      | Petition to revive - unintentional   |          |
| 142               | 242               | 640      | Utility issue fee (or reissue)   |          |
| 143               | 243               | 230      | Design issue fee   |          |
| 144               | 244               | 310      | Plant issue fee  |          |
| 122               | 222               | 130      | Petitions to the Commissioner  |          |
| 123               | 223               | 50       | Processing fee under 37 CFR 1.17(a)  |          |
| 126               | 226               | 180      | Submission of Information Disclosure Stmt                                  |          |
| 581               | 281               | 40       | Recording each patent assignment per property (times number of properties) | \$40     |
| 146               | 246               | 370      | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149               | 249               | 370      | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179               | 279               | 370      | Request for Continued Examination (RCE)                                    |          |
| 169               | 269               | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 40.00)

## SUBMITTED BY

Name (Print/Type) Stanton C. Braden

Signature

Registration No. 32,556  
(Attorney/Agent)

## Complete (if applicable)

Telephone (516) 357-0091

Date February 5, 2002

☐ This application claims the benefit under 35 U.S.C. ' 119(e) of U.S. Provisional Application(s) No(s):

APPLICATION NO(S):

FILING DATE

/ \_\_\_\_\_  
/ \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_

☐ Certified copy of applications

CountryAppln. No.Filed

\_\_\_\_\_

from which priority under Title 35 United States Code, ' 119 is claimed  
☐ is enclosed.

☐ will follow.

CALCULATION OF UTILITY APPLICATION FEE

| For  | Number Filed | Number Extra | Rate   | Basic Fee \$740.00 |
|--|--------------|--------------|--------|--------------------|
| TOTAL CLAIMS                                 | 13           | 0            | x 18 = | \$0                |
| INDEPENDENT CLAIMS                           | 3            | 0            | x 84 = | \$0                |
| <input type="checkbox"/> Multiple Dep. Claim | 0            |              | 280    | \$0                |
|  |              |              |        | TOTAL \$740.00     |

☐ Verified Statement of "Small Entity" Status Under 37 C.F.R. ' 1.27. Reduced fees under 37 C.F.R. ' 1.9(f) (50% of total) paid herewith \$.

\_\_\_\_\_  
\*Includes all independent and single dependent claims and all claims referred to in multiple claims. See 37 C.F.R. ' 1.75(c).

- ☐ Check in the amount of \$ to cover the ☐ recording, ☐ filing fee(s) are attached.
- ☒ Charge \$ 740.00 filing fee and \$ 40.00 assignment fee to Deposit Account No. 19-2179. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.
- ☒ Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. ' 1.16 and 1.17, at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 19-2179. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 19-2179 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Date: February 5, 2002



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